

## [DESCRIPTION]

### FIELD OF THE INVENTION

The present invention relates to a negative-working heat-sensitive material which is suitable for making a lithographic printing plate by direct-to-plate recording and to a method for imaging said heat-mode recording material by means of an infrared laser.

### BACKGROUND OF THE INVENTION

Lithographic printing is the process of printing from specially prepared surfaces, which contain a lithographic image consisting of areas that are capable of accepting ink (oleophilic areas) and areas that do not accept ink but are water-accepting (hydrophilic areas). In so-called wet lithographic printing methods, both water or an aqueous dampening liquid (also called fountain solution) and ink are applied to the plate surface that contains the hydrophilic and oleophilic areas. The hydrophilic areas are soaked with water or the dampening liquid and are thereby rendered oleophobic.

Various heat-mode plate materials are known which can be used as a lithographic master for printing with greasy inks. Ablative plates are the best known examples of so-called processless plates, i.e. plates which do not require any processing and thereof so can be used as a printing plate immediately after exposure. The heat, which is generated in the recording layer of such ablative plates by light absorption of a laser beam, removes a hydrophilic or oleophilic topcoat to expose an underlying oleophilic or respectively hydrophilic surface, thereby obtaining the necessary differentiation of ink-acceptance between the image-printing and non-image or background non-printing areas.

For example, DE-A-2 448 325 describes a laser beam sensitive negative printing plate comprising a substrate with a topcoat provided with a hydrophilic surface layer. The laser beam removes the surface material of areas having a high absorption coefficient, thereby rendering the exposed surface oleophilic. An image-printing plate is thus

obtained which can be used in an printing press without further processing. The plate is called a "direct negative" plate because it is suitable for direct exposure by a laser beam. The plate is a film mask required and because the areas of the recording material that have been exposed to the laser are rendered ink-accepting and define the image areas i.e. the printing areas.

Other disclosures in DE-A-2 448 325 concern "direct negative" printing plates comprising e.g. hydrophilic aluminum oxide or coated with a water-soluble laser light (Argon-ion) absorbing dye or with a coating based on a mixture of hydrophilic polymer and laser light absorbing dye (Argon-ion). Further examples about heat-mode recording materials for preparing "direct negative" printing plates have been described in e.g. DE-A-2 607 207, DD-A-213 530, DD-A-217 645 and DD-A-217 914. These documents disclose heat-mode recording materials that contain an anodized aluminum support and a hydrophilic recording layer provided therein. Laser exposure renders the exposed areas insoluble and ink-receptive, whereas the non-exposed areas remain hydrophilic and water-soluble. Such plates can also be used directly in the press without processing, because the non-exposed areas are removed by the dampening liquid during printing, thereby revealing the anodized aluminum support.

DD-A-155 407 discloses a so-called heater for "direct negative" printing plate where a hydrophilic aluminum oxide layer is rendered oleophilic by direct laser heat-mode imaging.

The above heat-mode "direct negative" lithographic printing plate are characterized by a low recording speed and by the obtained plates are of poor quality and durability.

EP-A-580 393 discloses an ablative lithographic printing plate directly imposable by laser discharge. The plate comprising a support, first layer and a second layer underlying the first layer wherein the first layer is characterized by efficient absorption of infrared radiation and the first and second layers exhibit different affinities for at least one printing liquid.

EP-A-683 728 discloses a heat-mode recording material comprising a support having an ink-receptive surface and a layer with an ink-receptive layer. The surface of the support is characterized by a heat and laser light absorbing layer having a thickness of more than 100 nm.

US 4,034,183 describes a process for lithographic plates that comprises a light-sensitive hydrophilic layer coated on a support which is exposed to a laser beam to form an ink-repellant layer and an ink-receptive layer. All of the examples and teachings require a high power laser and the run lengths of the resulting lithographic plates are limited.

US 3,832,948 describes both a printing plate with a hydrophilic layer that may be etched by exposing light from a hydrophobic support and also a printing plate with a hydrophobic layer that may be etched from a hydrophilic support. However, no examples are given.

US 3,964,389 describes a process for printing plates based on the principle of laser transfer of material. This process is very sensitive to transfer defects and requires an additional donor sheet.

US 4,054,094 describes a process for making a lithographic printing plate by using a laser beam to etch away a thin top coating of polysilicic acid on a polyester base, thereby rendering the exposed areas receptive to ink. No details of run length or print quality are given, but it is expected that an uncrosslinked polymer such as polysilicic acid will wear off quickly and give a short run length.

US 4,081,572 describes a method for preparing a printing master on a substrate by coating the substrate with a hydrophilic polyamic acid and then image-wise converting the polyamic acid to melanophilic polyimide with heat from a glass lamp or a laser. No details of run length, image quality or ink-water balance are given.

Japanese Kokai No. 55/105560 describes a method for preparing a lithographic printing plate by laser beam removal of a hydrophilic layer coated on a melanophilic support in which the hydrophilic layer contains colloidal silica, colloidal alumina, or resorcinol and a resin or a resin oxide. The only examples given use colloidal alumina alone or a mixture of colloidal alumina and colloidal silica. No details are given of the ink-water balance or limiting run length.

WO 92/09934 describes a method for making a lithographic printing plate by exposing a light sensitive layer on a support to a laser beam.

and labile tetraarylethynyl groups. However, the hydrophobic  
hydrophilic hydrophilic switching with organic groups is not possible.  
However, such a hydrophilic switching is achieved by the use of  
differentiation between hydrophilic and hydrophobic groups.

All the examples mentioned in the prior art fail to prepare a  
processless direct imageable printing plate which has a high  
sensitivity, good start-up behavior and forms a high run length.

My British EP-A no. 99202109, filed on 29.06.99, discloses a  
negative-working heat-sensitive material for making lithographic  
plates comprising in the order given a lithographic base, having a  
hydrophilic surface, an oleophilic imaging layer and a cross-linked  
hydrophilic upper layer. The heat generated during exposure in the  
imaging layer removes the hydrophilic upper layer by ablation.  
However, the water-acceptance in the non-exposed areas is  
insufficient and, as a result, the plate has an inferior start-up  
behavior, i.e. the non-exposed areas to a certain extent accept  
ink (a defect known as "toning") while printing the first 10 to 50  
copies, which are lost due to bad print quality.

#### SUMMARY OF THE INVENTION

It is an object of the present invention to provide a  
processless material that is suitable for long-term lithographic plate  
recording and is characterized by a high lithographic quality,  
especially with regard to start-up behavior. This object is  
achieved by the material defined in claim 1. Preferred embodiments thereof  
are defined in the dependent claims.

#### DETAILED DESCRIPTION OF THE INVENTION

The lithographic printing plate of the present invention  
comprising in the order given a lithographic base, having a  
hydrophilic surface, an oleophilic imaging layer and a cross-linked  
hydrophilic upper layer.

The cross-linked hydrophilic upper layer is particularly adapted  
to remove the ink from the non-exposed areas of the lithographic plate  
by the use of a water-soluble ink. The upper layer is particularly  
adapted to remove the ink from the non-exposed areas of the lithographic plate

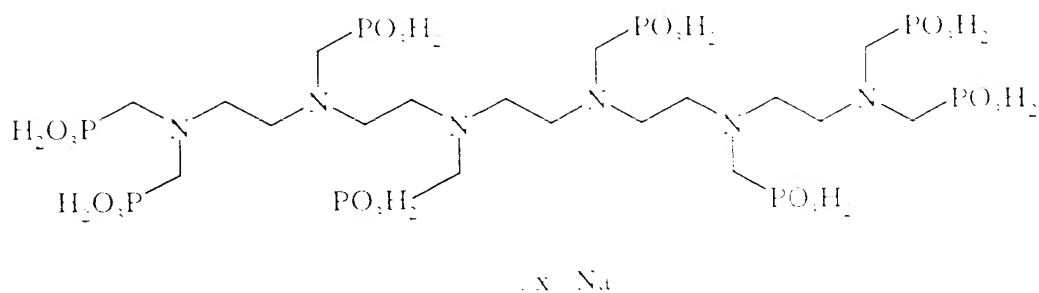
alkoxyethyl ester. It is used with a small amount of alkaline catalyst agents including e.g. hydrophobic soap, sodium carbonate, sodium methyl sulfate, dimethyl urea, sodium hydroxide, hydrolyzed starch, etc. The ester ester, sodium hydroxide binds to some in the upper layer may be selected in the group consisting of gum arabic, casein, gelatin, starch derivatives, dodecylmethyl sulfonium and the salts thereof, sodium  $\alpha$ -acetate, sodium alginate, vinyl acetate-maleic acid  $\alpha$ -lynone, styrene-maleic acid  $\alpha$ -lynone, polyacrylic acids and salts thereof, polymethacrylic acids and salts thereof, acrylic copolymers; lyones,  $\alpha$ -lynoethylene glycols, hydroxy  $\alpha$ -lynone; lyones;  $\alpha$ -lynoethyl alcohol and hydrolyzed; divinylacetate having a hydroxy  $\alpha$ -lynone in at least 50% by weight and more preferably at least 80% by weight.

US 3,476,937, which is hereby incorporated by reference into this document.

A further suitable or additional high glass layer is disclosed in EP-A- 514 990. This layer should not be too thick, but it is supposed to harden the coating and to protect the glass layer containing, among its other functions having as target the free hydrogen, e.g. sulfur, metallic elements, and chlorine.

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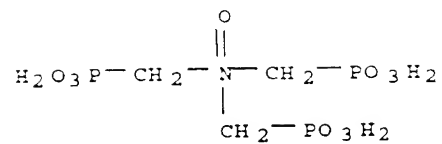
[illegible]

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100

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$$f_1(x) = (x^2 + 2x + 1) \cdot \ln(x) = (x+1)^2 \ln(x), \quad f_2(x) = (x^2 + 2x + 1) \cdot \ln(x+1) = (x+1)^2 \ln(x+1),$$
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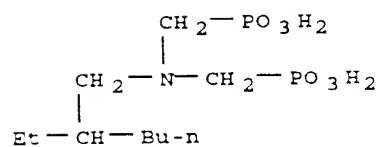


Brigman 41-125K :



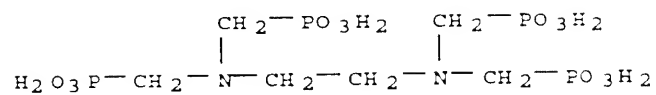
. x K

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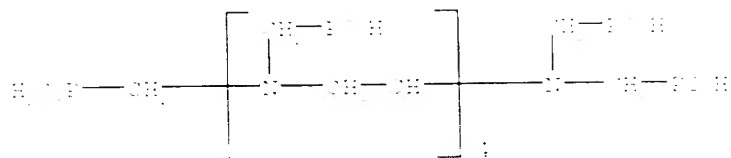
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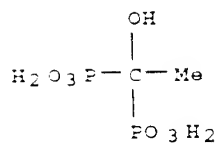


. 4 NH<sub>3</sub>

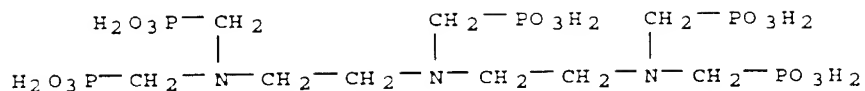
Brigman 402-33N :



Brigman 402-33N :

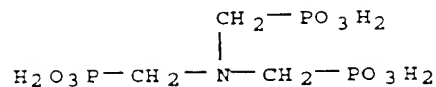


Briquest 201 :

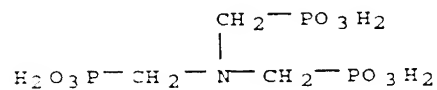


. x Na

Briquest 301-50A :

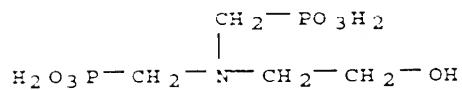


Briquest 301-50W AM :



. x Na

Briquest 54 :



. x Na

The following is a list of the chemical structures of the various compounds mentioned in the text. The structures are given in the form of chemical formulas, and the names of the compounds are given in the text. The structures are given in the form of chemical formulas, and the names of the compounds are given in the text.

EP-A- 601 240 GB-P- 1 419 512 FR-P- 2 300 354 US-P- 3 971 660.  
and US-P- 4 284 705.

[illegible][illegible]

resisting acid in isopropyl alcohol. See, for example, U.S. Pat. 3,330,000, April 1968.

The dry coating weight of the IR-sensitive oleophilic layer is preferably between 0.1 and 0.2 g/m<sup>2</sup> or more generally between 0.05 and 0.5 g/m<sup>2</sup>. If the IR-sensitive oleophilic layer is thicker than 0.1 g/m<sup>2</sup>, the oleophilicity of the exposed areas is low due to the underlying lithographic base, and the run length is mainly limited by the exposed areas. If the IR-sensitive oleophilic layer is too thick (e.g., 0.5 g/m<sup>2</sup>) the effect of the hydrophilic surface of the lithographic base is lost and the run length may be limited by the non-exposed areas due to flooding.

According to the present invention, the lithographic base may be an oxidized aluminum support. A particularly preferred lithographic base is an electrolytically grained and oxidized aluminum support. The oxidized aluminum support may be treated to improve the hydrophilic properties of its surface. For example, the aluminum support may be silicized by treating its surface with a sodium silicate solution at elevated temperature, e.g., 85°C. Alternatively, a phosphate treatment may be applied which involves treating the aluminum oxide surface with a phosphate solution that may further contain an inorganic filler. Further, the aluminum oxide surface may be rinsed with a citric acid or tartaric solution. This treatment may be carried out at room temperature or may be carried out at a slightly elevated temperature of about 30 to 50°C. A further interesting treatment involves rinsing the aluminum oxide surface with a formaldehyde solution. Still further, the aluminum oxide surface may be treated with: polyvinyl alcohol; polyvinylmethacrylate; polyvinylphosphonic acid; phosphoric acid esters of: polyvinyl alcohol; polyvinylidene; and polyvinylcarbazole; sulfonic acid esters of: polyvinyl alcohol; and esters of polyvinyl alcohols formed by reaction with a sulfonated aliphatic aldehyde. It is further evident that the surface of other polymeric materials may be treated in a similar manner. Many treated lithographic supports are described in U.S. Pat. 3,330,000, GB-A-1 084 070, DE-A-4 423 140, DE-A-4 417 907, EP-A-659 909, EP-A-537 633, DE-A-4 001 466, EP-A-292 801, EP-A-291 760 and US-P-4 458 005.

According to the present invention, the lithographic base may be a lithographic base which is not an aluminum support. The lithographic base may be a lithographic base which is not an aluminum support.

which is provided with a hydrophilic layer. The latter is called base layer. The flexible support or substrate is a film of aluminum. The base layer is preferably a thin, uniform hydrophilic layer obtained from a hydrophilic binder which is mixed with a hardening agent such as formaldehyde, glyoxal, polyisocyanate or a hydrolyzed tetra-alkylorthosilicate. The latter is particularly preferred.

The hydrophilic binder for use in the base layer is e.g., a hydrophilic copolymer such as copolymers and copolymers of vinyl alcohol, acrylamide, methyl acrylamide, methyl methacrylamide, acrylate and methacrylate and hydroxyethyl acrylate, hydroxyethyl methacrylate or maleic anhydride vinylmethylether copolymers. The hydrophilicity of the copolymer or copolymer mixture used is preferably the same as or higher than the hydrophilicity of polyvinyl acetate hydrolyzed to at least an extent of 4% by weight, preferably 5% by weight.

The amount of hardening agent, in particular tetraalkyl orthosilicate, is preferably at least 0.5 parts per part by weight of hydrophilic binder, more preferably between 1.5 and 5 parts by weight, most preferably between 1 part and 3 parts by weight.

The hydrophilic base layer may also contain substances that increase the mechanical strength and the rigidity of the layer. For this purpose colloidal silica may be used. The colloidal silica employed may be in the form of any commercially available water dispersion of colloidal silica for example having an average particle size up to 400 or e.g., 20 nm. In addition other particles or larger size than the colloidal silica may be added, e.g., silica prepared according to Stober as described in U.S. Pat. 2,811,411 and Japanese Pat. 2,111,124, papers of Stober, U.S. Pat. 2,811,411 and particles having an average diameter of at least 100 nm which are particles of titanium dioxide or other heavy metal oxides. By using these particles the surface of the hydrophilic base layer is given a uniform rough texture consisting of small pits, hills and valleys which is covered with a layer of water in contact with air.

In addition to the hydrophilic base layer there may be an additional layer of a material which is permeable to water vapor.

Particular examples of suitable hydrophilic binders for use in accordance with the present invention are listed in

EP-A- 601 240 GB-P- 1 419 512 FR-P- 2 300 354 US-P- 3 971 660 and US-P- 4 284 705.

As flexible support of a lithographic base in connection with the present embodiment it is particularly preferred to use a plastic film e.g. polyethylene terephthalate film, polyethylene naphthalate film, cellulose acetate film, polyvinylidene fluoride film, etc. The plastic film support may be opaque or transparent.

It is particularly preferred to use a film support which has an adhesion improving layer, also called substrate layer, on the back provided. Particularly suitable adhesion improving layers for use in accordance with the present invention comprise a hydrophilic binder and colloidal silica as disclosed in EP-A- 619 524.

EP-A- 620 502 and EP-A- 619 525. Preferably, the amount of silica in the adhesion improving layer is between 300 mg per  $m^2$  and 750 mg per  $m^2$ . Further, the ratio of silica to hydrophilic binder is preferably more than 1 and the surface area of the colloidal silica is preferably at least 300  $m^2$  per gram, more preferably at least 400  $m^2$  per gram.

Initially the heat-sensitive imaging material can be covered with an additional hydrophilic layer, provided on top of the hydrophilic upper layer discussed above, which comprises an organic compound containing cationic groups as described in EP-A no. 99202110, filed on 29.06.99.

In accordance with the method of the present invention the imaging material is image-wise exposed, i.e. removal of the cross-linked hydrophilic upper layer and thereby the exposed areas are converted to hydrophilic areas while the unexposed areas remain hydrophobic. This is mostly the case when using short pixel dwell times, for example 1 to 100 ns. However, when using longer pixel dwell times, for example 1 to 1000 ns, the hydrophilic layer may not completely be removed in every area. The remaining parts of the hydrophilic layer are then to be removed in the subsequent steps with a further solution and the only remaining part of the hydrophilic layer is the one which is located in the areas where the pixel dwell time is too long. It has been found that the removal of the hydrophilic layer is most efficient when the solution is changed after every 10 to 20 seconds.

treatment such as rubbing or brushing the layer with water or other liquid. A preferred alternative wet processing step is a treatment step as is normally used for conventional plates. A final step is normally not regarded as a processing step. It either is a treatment which protects the hydrophilic areas from fingerprints or other contamination which may affect the water-acceptance of these areas. Or a gumming the remaining solution left on the plate is removed thereby avoiding contamination of the press. At the same time the hydrophilic areas are covered with a thin layer of the gumming solution insuring a better start-up print quality.

Image-wise exposure in accordance with the present invention is preferably an image-wise scanning exposure involving the use of a laser or L.S.D. Preferably lasers are used that operate in the infrared or near-infrared, i.e., wavelength range of 700-1500 nm. Most preferred are laser diodes emitting in the near-infrared with an intensity higher than 10 mW/cm<sup>2</sup>.

According to the present invention the plate is then ready for printing without an additional development and can be mounted on the printing press.

According to a further embodiment the imaging material is first mounted on the printing cylinder of the printing press and then image-wise exposed directly or through a transparent or semi-transparent image recording device. Subsequent to exposure the imaging material is ready for printing.

The printing plate of the present invention can also be used in the printing press as a seamless sleeve printing plate. In this system the printing plate may be a sleeve in a cylindrical form by means of a laser. Such cylindrical printing plate which has the diameter of the print cylinder can be slid on the print cylinder instead of a conventional printing plate. More details of sleeves are given in British Patent No. 2,181,198, 1988, page 17.

The following examples illustrate the present invention with reference to the drawings. All parts and components are by way of illustration and not limitation.

## EXAMPLES

## Reference Comparative Example

## Preparation of the lithographic base

A 0.01 mm thick aluminum foil was roughened by immersing the foil in an aqueous solution containing 5 g/l of sodium hydroxide at 50°C and rinsed with demineralized water. The foil was then electrochemically grained using an alternating current in an aqueous solution containing 4 g/l of hydrochloric acid, 4 g/l of hydrobromic acid and 5 g/l of aluminum ions at a temperature of 15°C and a current density of 1000 A/m<sup>2</sup> to form a surface topography with an average center-line roughness Ra of 1.5 µm.

After rinsing with demineralized water the aluminum foil was etched with an aqueous solution containing 10 g/l of sulfuric acid at 60°C for 180 seconds and rinsed with demineralized water at 25°C for 30 seconds.

The foil was subsequently subjected to anodic oxidation in an aqueous solution containing 20 g/l of sulfuric acid at a temperature of 45°C, a voltage of 40 V and a current density of 150 A/m<sup>2</sup> for 30 minutes to form an anodic oxidation film of 3.00 g/m<sup>2</sup> of Al<sub>2</sub>O<sub>3</sub>, then washed with demineralized water, post-treated with a solution containing polyvinylphosphonic acid and a solution containing aluminum trihydrate, and subsequently rinsed with demineralized water at 25°C for 120 seconds and dried.

## Preparation of the oleophilic imaging layer

The imaging layer was formed on the lithographic base at a coating thickness of 20 µm from a solution having the following composition:

1.0 g/l of black pigment in the form of a dispersion in toluene

0.5 g/l of oleophilic resin dissolved in toluene

0.5 g/l of oleophilic resin dissolved in toluene

0.5 g/l of oleophilic resin

11.5 g/l of oleophilic resin

100 g/l of oleophilic resin dissolved in toluene



- 1.41 g Nitrocellulose E-5, grade mark from W. L. Walbridge
- 12.75 g Ethylacetate
- 1.0 g Transition metal complex (from 5 ml water and 10 ml ethanol)
- 0.15 g Dithionite (grade mark from Walbridge)
- 0.14 g Isopropanol
- 0.10 g Dymal solution of the following composition :
  - 1.40 g Dymal III (grade mark from Dynal Dynes)
  - 1.71 g Ethylacetate
- 1.05 g p-toluene sulfonic acid solution of the following composition :
  - 0.170 g p-toluene sulfonic acid
  - 1.004 g Ethylacetate
- 201.60 g Ethylacetate
- 211.81 g Ethylacetate

#### Preparation of the cross-linked hydrophilic upper layer

After drying the imaging layer, the hydrophilic layer was coated to a wet coating thickness of 11  $\mu$ m from a solution having the following composition :

- 0.1 g 0.15% Si<sup>2+</sup> dispersion (Kiba-Sei 111 from Fayer) in water, emulsified with 1 g/l "KX 400" g. Lyrinyl alcohol from Wacker; the dispersion contained 11% vinylinyl alcohol versus Si<sup>2+</sup> average particle size 11  $\mu$ m
- 0.1 g 0.15% hydrolyzed tetramethyl am. silicate (TM.S) in water-ethanol 1/1/1.
- 1.0 g 5% wetting agent in water.

The pH of this solution was adjusted to 4.0 with 2% acetic acid. After coating, the layer was hardened for 12 hours at 60°C  $\pm$  5°C S.H.

#### Example 5 - composition

The composition was prepared from a solution having the following composition : 0.15% Si<sup>2+</sup> dispersion (Kiba-Sei 111 from Fayer) in water, emulsified with 1 g/l "KX 400" g. Lyrinyl alcohol from Wacker; the dispersion contained 11% vinylinyl alcohol versus Si<sup>2+</sup> average particle size 11  $\mu$ m

ailed to the coating solution of the hydrophilic layer. The details are given in table 1.

The resulting imaging materials were printed on the Transletter 2144T M at 141.3  $\mu$ m resolution at a printing speed of 100 rpm and a laser output of 10 Watt. After printing the plate was mounted on a Heidelberg GT182 press with a Dehlgren dampening system using H-B 910 Skinnex as ink and Eutomatic as dampening liquid. A compressible blanket was used. Subsequently the press was started by allowing the print cylinder with the printing material mounted thereon to rotate. The dampener rollers of the press were first dropped in the printing material to supply dampening liquid to the imaging material and after 10 revolutions of the print cylinder, the ink rollers were dropped to supply ink. After 10 further revolutions, the paper supply was started.

The start-up behaviour is defined as the number of sheets required before tuning-free prints were obtained. The results are summarized in table 1.

#### Examples 4-7

The materials 4, 5, 6 and 7 were prepared in an identical way as the reference material with the proviso that in the solution of the hydrophilic layer a part of the polyvinylalcohol was replaced by a polymer which contains a sulfonic acid pendant group resulting in a layer composition as shown in table 1. The exposure, printing and evaluation method was the same as used in the above examples 1-3.

#### Examples 8 and 9

The materials 8 and 9 were prepared in an identical way as the reference material with the proviso that a polymer which contains a sulfonic acid pendant group in the salt form was used for the solution of the hydrophilic layer. A salt of a sulfonic acid was used as shown in table 1. The exposure, printing and evaluation method was the same as used in the above examples 1-3.

TABLE I. Properties of the copolymers prepared by Examples 1, 2, and 3

Example	Composition hydrophilic layer				Start up
	Styrene	MAA	polyvinylalcohol	extra binder	
1	65.0 %	35.0 %	7.0 %		100 prints
2	65.0 %	35.0 %	7.0 %	3.5 % P92A	5 prints
3	65.0 %	35.0 %	7.0 %	3.5 % PVPA	10 prints
4	65.0 %	35.0 %	7.0 %	3.5 % Briquest 8100, 25S	10 prints

polyvinylpyrrolidone acid; Mn = 10000 g/mol; Mw = 200000 g/mol

polyvinylphosphonic acid; Mn = 6600 g/mol; Mw = 30000 g/mol

Commercially available from Aldrich & Wilson

TABLE II. Properties of the copolymers prepared by Examples 4, 5, 6, and 7

Example	Composition hydrophilic layer				Start up
	Styrene	MAA	polyvinylalcohol	P92A (footnote 1 of Table I)	
4	65.0 %	35.0 %	7.0 %	3.0 %	100 prints
5	65.0 %	35.0 %	6.0 %	3.0 %	10 prints
6	65.0 %	35.0 %	5.0 %	3.0 %	5 prints
7	65.0 %	35.0 %	2.0 %	4.0 %	5 prints
8	65.0 %	35.0 %	6.0 %	7.0 %	5 prints

TABLE 1. Properties of the copolymers and the copolymer blends

Sample	Copolymer blends				Character
	Sample	Weight	Copolymer blend	Extra binder	
Copolymer	Copolymer 1	100%	7.5%	0%	100% prints
	Copolymer 2	100%	1.5%	0.5% Verba 9110	100% prints
	Copolymer 3	100%	0.5%	0.5% PSLA	100% prints

Note: The copolymers are commercially available from Huls and Huls & Chem. Corp.

Verba 9110 is Verba 9110.